

IFW

Docket No.: P2001,0201

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By: 

Date: July 12, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applic. No.	:	10/667,567	Confirmation No.:	9655
Applicant	:	Thorsten Böker, et al.		
Filed	:	September 22, 2003		
Title	:	Data Carrier		
Art Unit	:	2655		
Examiner	:			
Docket No.	:	P2001,0201		
Customer No.	:	24131		

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.97

Hon. Commissioner for Patents  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR § 1.98 copies of the following patents and/or publications are cited herewith:

H. Akashika, et al: "Proceedings of the 2001 Symposium on Cryptography and Information Security", Volume II of II, January 23-26, 2001, Oiso, Japan.

The reference relates to a technique of application download to a multi-purpose IC card, which allows a card user or service provider to change the operation policy of the multipurpose card by performing card operations such as downloading a specific application or performing resource control for the duration of an application operation. A digital signature is used by the service provider for authentication and document encryption.

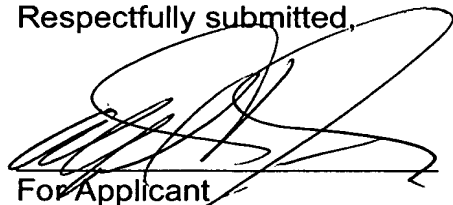
W. Hosada, et al: "Electronic Purse", Vol. 82 No. 4, pp 378-385, 1999. The reference relates to a multi- purpose card used as a digital wallet. The multi- purpose card can replace a conventional floppy disk or a conventional optical disc as a data carrier having encryption/ authentication function.

English Translation of Japanese Office Action, dated April 13, 2006.

In accordance with 37 CFR § 1.97(e) the undersigned herewith states that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

If no translation of pertinent portions of any foreign language patents or publications mentioned above is included with the aforementioned copies of those applications, patents and/or publications, it is because no existing translation is readily available to the applicant.

Respectfully submitted,



For Applicant

**Alfred K. Dassler**  
**52,794**

Date: July 12, 2006

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FORM PTO/SF 449 (SUBSTITUTE)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
(37 CFR § 1.98(b))Attorney Docket No.:  
P2001,0201Applic. No.  
10/667,567

Applicant

Thorsten Böker, et al.

Filing Date  
September 22, 2003Group Art Unit  
2655

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS		PATENT NO.	DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE
	A						
	B						
	C						
	D						
	E						
	F						
	G						
	H						
	I						

## FOREIGN PATENT DOCUMENT

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL. YES   NO
	J						
	K						
	L						
	M						
	N						

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	O	H. Akashika, et al: "Proceedings of the 2001 Symposium on Cryptography and Information Security", Volume II of II, January 23-26, 2001, Oiso, Japan.
	P	W. Hosada, et al: "Electronic Purse". Vol. 82 No. 4, pp 378-385, 1999.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.